

AMBITIOUS? BRIGHT? RIGHT.

GAM is one of the world's leading independent, pure-play asset managers. We provide differentiated active investment solutions and products for institutions, financial intermediaries and private investors. Our core investment business is complemented by private labelling services, which include fund administration and other support services to third-party institutions. Together we share a common set of values rooted in teamwork, integrity, entrepreneurial flair and professional excellence.

Our employees are our most valuable asset. Being able to offer an attractive work environment where talented minds from various backgrounds are keen to work is key to the long-term success of our company. We firmly believe in the importance of maintaining the open culture of a small company, aiming to avoid bureaucracy and encouraging a flexible, accessible and hands-on working style across the Group. In turn, our people reward us with their loyalty.

Risk Manager

Function:

Risk

Department:

Regional Risk

Purpose

A permanent position has arisen in the Risk function. This role will provide exposure to our Irish company, GAM Fund Management Limited (GFML) acting as management company and its full range of funds. In addition, you will gain a detailed understanding of how different departments and offices within the GAM Group function and interact. A key focus of the Risk function is implementation with continual improvement of GAM's Risk Management Framework (RMF). The role will provide the opportunity to be a part of a highly skilled risk management team across the GAM Group.

The Risk Manager's role is to assist the Head of Risk Ireland in implementing and managing the RMF. The RMF enables the risk owners to identify, measure and analyse also key enterprise risks associated with GAM's business. It will also guide the Risk function's monitoring and reporting to senior management on these key enterprise risks. This will include both proprietary and agency risks.

Main Duties & Responsibilities

Risk Identification

- Monitor risk developments, changes to procedures, processes, systems and regulations, and assess their potential impact on GFML from a risk perspective.
- Help manage the operational incident reporting process including the maintenance of the incident management system and risk analysis with particular emphasis on root causes and measures to prevent recurrence.

- Manage and participate in operational risk reviews of the business as necessary, providing guidance in respect of the RMF and appropriate challenge of risks and controls identified during the risk and control self-assessment (RCSA) process.

Risk Measurement and Management

- Through engagement in the RCSA process, provide feedback to risk colleagues and business managers of the operational areas in the form of recommended improvements to processes and/or controls designed to mitigate risk.
- Revise and/or further develop a series of key risk indicators (KRI's) in conjunction with the business managers which ensure that all key risks are adequately captured, measured and managed. Monitor, analyse and challenge these KRI's when reported.
- Provide trend analysis on key risk metrics.
- Meet regularly with nominated business areas to discuss adherence to the RMF and current and emerging risk issues.

Risk Monitoring and Reporting

- Assist in the production of monthly risk summaries for senior management of GFML and quarterly risk reports for its board of directors and funds.
- Assist in the follow up on risk mitigation plans from internal audit, operational incident reports, regulators and external auditors as appropriate.
- Review all market and liquidity risk metrics received from the Risk function's investment analytics teams, and to monitor and analyse these against regulatory and prospectus limits as well as against the relevant GFML policy or relevant risk framework.
- Review all credit and counterparty risk metrics received from other teams within the Risk function, and to monitor and analyse these against regulatory and prospectus limits as well as against the relevant GFML policy or relevant risk framework.
- Review and challenge of risk reports received from delegates against regulatory and prospectus limits as well as against the relevant GFML policy or relevant risk framework.
- Assist in the preparation and submission of defined regular reporting to GFML senior management, its board of directors, funds, Group functions and regulators.

Risk Policies and Procedures

- Participate in the formulation, implementation and on-going review of risk management related policies and procedures.

Risk Development

- Handle day to day queries from various sources and to provide technical assistance to staff where required.
- Ensuring that all necessary internal and external reports including board and regulatory reports are completed correctly and filed in a timely manner.
- Completion of ad-hoc projects and dealing with a wide range of queries from various sources.
- Provide assistance in the monitoring of new developments, procedures and regulations and assessing their potential impact on GFML and the regulated funds under management.

Qualification and Requirements

- A third level qualification in finance or a related discipline.
- Minimum of 5 years' experience of funds and the financial instruments used in funds, with at least 3 of those years in a risk management capacity.

Personal Attributes

- Accurate and diligent, attention to detail is vital
- Self-motivated with a proven track record
- Ability to work unsupervised
- Ability to recommend solutions to complex problems and issues
- Ability to challenge the status quo and seek innovative alternatives
- Good working experience of Microsoft Suite
- Energetic and well organised with excellent time management skills
- Excellent interpersonal and communication skills (written and verbal), including diplomacy and discretion
- Analytical under pressure
- Adaptable to change
- High standards and ability to lead by example

Benefits

GAM offers a comprehensive range of benefits and performance-based compensation, along with a variety of lifestyle and family benefits to promote well-being in and out of the workplace. These benefits vary according to local market conditions. Please contact the local Human Resources team for further details.

Location:

Dublin

Employing Company/ies:

GAM Fund Management Limited

Reporting to:

Head of Risk Ireland

Contract:

Permanent

Regulated Controlled Function:

No

GAM Fund Management Limited
 Human Resources
 George's Court
 54 – 62 Townsend Street
 Dublin 2
 T +353 (0)1 609 3900
www.gam.com