

## Press Release

### GAM Holding AG signs UN-supported Principles for Responsible Investment

Zurich, 27 February 2015

- **Commitment to work towards implementing ESG considerations in investment processes**
- **Action plan established for 2015**

As part of its ongoing effort to foster sustainable investing across the Group, GAM Holding AG today announced that it has signed the United Nations-supported Principles for Responsible Investment (PRI).

The PRI is an international global network of asset managers, owners and service providers working together to put responsible investment into practice. The principles, which are voluntary, aim to provide a framework for integrating environmental, social and corporate governance (ESG) considerations into investment decision-making and ownership practices.

In order to become better aligned with the PRI guidelines, the Group has committed to undertake a series of steps in 2015. These include developing global sustainable investing guidelines, which describe the Group's general approach to ESG matters, followed by a consideration of how these are integrated into its investment decision-making. Later in the year the Group will focus on the documentation to satisfy the annual PRI reporting requirements.

Group CEO Alexander Friedman said: "As active investors, we have a responsibility to explore all factors that could potentially impact the financial performance of individual companies in which we invest – and these often include ESG issues. Becoming a signatory of PRI reinforces our commitment to fully discharge that responsibility and it is also the right thing to do as an engaged corporate citizen."

"We are delighted to welcome GAM Holding AG as a PRI signatory", said Fiona Reynolds, managing director of the PRI. "Their commitment demonstrates the growing awareness and importance of ESG to the investment decision-making process."

#### **For further information please contact:**

Media Relations:  
Andreas Kern  
T: +41 (0) 58 426 62 59

Investor Relations:  
Patrick Zuppiger  
T: +41 (0) 58 426 31 36

#### **About GAM Holding AG**

GAM Holding AG is an independent, pure-play asset management group with a focus on active investing. With global distribution networks and investment teams based in five investment centres in

Europe, the US and Asia, it delivers investment solutions to institutions, intermediaries and private clients through two leading brands – Julius Baer Funds and GAM. The Group's investment management business is complemented by a private labelling unit which provides outsourcing solutions for third-party assets.

Headquartered in Zurich, GAM Holding AG is listed on the SIX Swiss Exchange and is a component of the Swiss Market Index Mid (SMIM) with the symbol "GAM". The Group has total assets under management of CHF 124.1 billion<sup>1</sup> and employs over 1,000 staff with offices in 11 countries.

<sup>1</sup> As at 30 September 2014.

[www.gam.com](http://www.gam.com)

### **About The Principles for Responsible Investment (PRI)**

The United Nations-supported Principles for Responsible Investment (PRI) Initiative is an international network of investors working together to put the six principles for responsible investment into practice. Its goal is to understand the implications of Environmental, Social and Governance issues (ESG) for investors and support signatories to incorporate these issues into their investment decision-making and ownership practices. In implementing the principles, signatories contribute to the development of a more sustainable global financial system. Visit [www.unpri.org](http://www.unpri.org).

### **Disclaimer regarding forward-looking statements**

This press release by GAM Holding AG ('the Company') includes forward-looking statements that reflect the Company's intentions, beliefs or current expectations and projections about the Company's future results of operations, financial condition, liquidity, performance, prospects, strategies, opportunities and the industry in which it operates. Forward-looking statements involve all matters that are not historical facts. The Company has tried to identify those forward-looking statements by using words such as 'may', 'will', 'would', 'should', 'expect', 'intend', 'estimate', 'anticipate', 'project', 'believe', 'seek', 'plan', 'predict', 'continue' and similar expressions. Such statements are made on the basis of assumptions and expectations which, although the Company believes them to be reasonable at this time, may prove to be erroneous.

These forward-looking statements are subject to risks, uncertainties, assumptions and other factors that could cause the Company's actual results of operations, financial condition, liquidity, performance, prospects or opportunities, as well as those of the markets it serves or intends to serve, to differ materially from those expressed in, or suggested by, these forward-looking statements. Important factors that could cause those differences include, but are not limited to: changing business or other market conditions, legislative, fiscal and regulatory developments, general economic conditions, and the Company's ability to respond to trends in the financial services industry. Additional factors could cause actual results, performance or achievements to differ materially. The Company expressly disclaims any obligation or undertaking to release any update of or revisions to any forward-looking statements in this press release and any change in the Company's expectations or any change in events, conditions or circumstances on which these forward-looking statements are based, except as required by applicable law or regulation.