

22 September 2021

## **PRESS RELEASE**

# GAM Holding again best financial services provider in the zRating Corporate Governance Study by Inrate

- GAM Holding is once again the best-ranked financial services provider in the Corporate Governance zRating
- GAM Holding ranks 15th overall out of a total of 171 companies listed in Switzerland, with 72 out of 100 possible points
- The study consolidates GAM Holding's strong position in corporate governance

"We are very pleased with this year's 15<sup>th</sup> place in the zRating and the confirmation that we have been awarded as the best financial services company. We were able to improve our ranking by two places compared to the previous year. This is further proof that we have continuously built up exemplary corporate governance over the past years. For financial service providers, assessing corporate governance of a company is part of the core business. It is therefore crucial for us as a listed company to set an example. This recognition is both a confirmation and an incentive for us to strive for a leading position in everything we do and to create value for our clients, shareholders and employees," said **David Jacob, Chairman of GAM**.

The zRating of Inrate AG was published for the 13<sup>th</sup> time. 171 listed Swiss companies were analysed on the basis of the Annual Reports 2020 and Annual General Meetings 2021. The zRating evaluates 65 quantitative and qualitative criteria in the categories "Shareholders and capital structure", "Shareholders' participation rights", "Composition of the Board of Directors (BoD)/Management Board (BoM) and information policy" and "Compensation and participation models BoD/BoM" and weights them with a scoring model. The maximum achievable score across all four categories is 100 points. This year's study, which is published together with the ranking, focused on sustainability. According to the study, Corporate communication is increasingly geared to these topics and transparency is the basis of good corporate governance.

#### **About Inrate**

<u>Inrate AG</u> is the independent Swiss sustainability rating agency. Since 1990, it has been helping clients develop and successfully implement innovative sustainability solutions with profound sustainability knowledge and research solutions. The ratings measure the impact a company's behaviour and products have on society and the environment, as well as its willingness and ability to tackle the challenges it faces.

#### **Upcoming events:**

**21 October 2021** Q3 2021 Interim Management Statement

**17 February 2022** Full Year Results 2021

20 April 2022 Q1 2022 Interim Management Statement

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#### About GAM

GAM is a leading independent, pure-play asset manager. The company provides active investment solutions and products for institutions, financial intermediaries and private investors. The core investment business is complemented by private labelling services, which include management company and other support services to third-party asset managers. GAM employed 652 FTEs in 15 countries with investment centres in London, Cambridge, Zurich, Hong Kong, New York, Milan and Lugano as at 30 June 2021. The investment managers are supported by an extensive global distribution network. Headquartered in Zurich, GAM is listed on the SIX Swiss Exchange with the symbol 'GAM'. The Group has AuM of CHF 126.0 billion¹ (USD 136.3 billion) as at 30 June 2021.

#### Important legal information

The information in this document is given for information purposes only and does not qualify as investment advice. Opinions and assessments contained in this document may change and reflect the point of view of GAM in the current economic environment. No liability shall be accepted for the accuracy and completeness of the information. Past performance is no indicator for the current or future development. There is no guarantee that objectives will be achieved.

<sup>&</sup>lt;sup>1</sup> Including CHF 22.8 billion of AuM (as at 30 June 2021) related to the redemption of a client which was communicated on 26 January 2021. The majority of AuM is expected to be transferred in the second half of 2021.